

## Designations

### CFP®

CERTIFIED FINANCIAL PLANNER™ is issued by the Certified Financial Planner Board of Standards, Inc. CFP professionals must adhere to a code of ethics. Candidates must meet the following requirements:

- Complete CFP education program
- Bachelor's degree (or higher) from an accredited college or university
- Pass CFP certification exam
- 3 years of full-time personal financial planning experience
- Continuing education requirements of 30 hours every 2 years

### CFA®

Chartered Financial Analyst® is issued by the CFA Institute. To earn this designation, the applicant must successfully complete all three parts of the CFA exam. Candidates must meet the following requirements:

- Bachelor's degree (or higher) from an accredited college or university or have equivalent education or work experience
- Complete and pass the CFA program and exam
- 4 years of qualified work experience in the investment decision-making process
- Fulfill society requirements, which require two sponsor statements
- Abide by and annually affirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct
- Must be a regular member

### CIPM®

The Certificate in Investment Performance Measurement is issued by the CFA Institute. To earn this designation, the applicant must successfully complete both parts of the CIPM exam. Candidates must fulfill annual Mandatory Professional Learning.

CFA® and CIPM® are registered trademarks owned by the CFA Institute. For more details on qualifications for these designations: <https://www.cfainstitute.org/>

## **CIMA®**

Certified Investment Management Analyst® is issued by the Investments & Wealth Institute.

Candidates must meet the following requirements:

- Must have at least three years of financial service experience and a satisfactory record of ethical conduct as determined by the Investments & Wealth Institute Admissions Committee
- Comply with the Institute Code of Professional Responsibility
- Successfully complete the course
- Complete and pass the final exam
- Continuing education requirements of 40 hours every 2 years, including two hours of ethics education

## **RICP®**

Retirement Income Certified Professional® is issued by the American College. To earn this designation, the applicant must meet the following requirements:

- Successfully complete the three required courses
- Comply with the American College Code of Ethics and Procedures
- Annually participate in the Professional Recertification Program

## **CRPC®**

Chartered Retirement Planning Counselor<sup>SM</sup> is issued by the College of Financial Planning®.

Candidates must meet the following requirements:

- Successfully complete the course
- Complete and pass the final exam
- Continuing education requirements of 16 hours every 2 years

## **CEP®**

Certified Estate Planner is issued by the National Institute of Certified Estate Planners.

Candidates must meet the following requirements:

- Successfully complete the course of eight modules
- Complete and pass the final exam
- Continuing education requirements of 8 hours every 2 years

## **FPQP®**

Financial Paraplanner Qualified Professional<sup>SM</sup> is issued by College of Financial Planning®. Candidates must meet the following requirements:

- Successfully complete the course
- Complete and pass the final exam
- Continuing education requirements of 16 hours every 2 years

## **ChFC®**

Chartered Financial Consultant® is issued by The American College. Candidates must meet the following requirements:

- Successfully complete the eight required courses
- Comply with the American College Code of Ethics and Procedures
- Annually participate in the Professional Recertification Program

## **MSFS**

The Master of Science in Financial Services is issued by The American College. It is an accredited degree program and requires completion of 36 course credits.

## **AEP®**

Accredited Estate Planner® is issued by The American College. It is a graduate level specialization in estate planning and requires completion of two graduate courses.

## **ABFP<sup>SM</sup>**

Accredited Behavioral Finance Professional is issued by the College of Financial Planning®. Candidates must meet the following requirements:

- Successfully complete the required courses
- Continuing education requirements of 16 hours every 2 years

## **FBS®**

Certified Financial Behavior Specialist is issued by the Financial Psychology Institute®.

Candidates must meet the following requirements:

- Bachelor's Degree or higher from a Regionally Accredited Institute of higher learning and/or a License, Registration, or Certification in financial planning, counseling, coaching, mental health, or a related field.
- Completion of a Certificate in Financial Psychology & Behavioral Finance or related field.
- Agreement to adhere to the Financial Psychology Institute's® Code of Ethics.
- Continuing education requirements of 20 hours every 2 years
- Two letters of recommendation from professionals familiar with financial psychology or financial therapy, and who are also familiar with your work.

## **IACCP®**

Investment Advisor Certified Compliance Professional® is cosponsored by the Investment Adviser Association and NRS, a ComplySci Company.

Candidates must meet the following requirements:

- Successfully complete the 17 required compliance courses and 3 elective courses
- Submission of ethics commitment and assessment
- Complete and pass the final exam
- Annual continuing education requirements